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### N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of John E. Auer

Serial No.:

09/942,516

Filed:

August 30, 2001

For: System and Method for Processing Patient

Information

Examiner: N/A

Group Art: 2176

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# INFORMATION DISCLOSURE STATEMENT WITH CERTIFICATION AND PETITION FOR CONSIDERATION UNDER 37 CFR § 1.97

Mail Stop Group 2176 Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO 1449. These documents were cited in a search report issued in the corresponding PCT application. Copies of the listed documents are also enclosed.

This information is being submitted prior to the mailing of a first office action. Accordingly, pursuant to 37 CFR § 1.97(d), the undersigned submits that no fee is presently due.

Petition under 37 CFR § 1.97(d)

The undersigned hereby petitions that the Examiner consider the

information cited in this Statement. As this petition is filed after filing of the application

and before mailing of a first office action it is respectfully submitted that no fee is due.

However, if a fee is due please charge the fee to Patent and Trademark Office Deposit

Account No. 502828.

It is respectfully requested that the above information be considered by the

Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such

information has been considered.

I hereby declare that all statements made herein of my own knowledge are

true and that all statements made on information and belief are believed to be true; and

further that these statements were made with the knowledge that willful false statements

and the like so made are punishable by fine or imprisonment, or both, under Section 1001

-f Title 18 of the United States Code and that such willful false statements may jeopardize

the validity of the application or any patent issued thereon.

Respectfully submitted,

By:

York, NY 10018

(212) 971-9017

Dated: November 18, 2003

# Sheet <u>1 of 1</u>

# LIST OF REFERENCES CITED BY APPLICANT

1	TO I OF KELFKFIACES	CITED DI	MI LICHIII
Atty Docket No.:	2000P09059US01	Filing Date:	August 30, 2001
Atty Docket No.: Serial No.:	09/942,516	Group Art:	2176

App Cant: U.S. PATENT DOCUMENTS

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*Ex. Intls.	(A)	No.	Date	Name	Class	Subclass	Filing Date	
	AA	6041335	3/21/2000	Charles R. Merritt et al.	707	512	2/10/1997	
	AB						RECE	IVED
	AC						NOV 2	
	AD						Technology	Center 2100
	AE							

### FOREIGN PATENT DOCUMENTS

*Ex. Intls.		Document No.	Date	Country	Name	Class	Subclass	Translation Yes/No
	AF							
	AG							
	АН							
	AI							
	AJ							

# OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AK	
AO	
AP	

EXAMINER:	DATE CONSIDERED:

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



# **CERTIFICATE OF MAILING**

I hereby certify that this amendment is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to the Mail Stop Group 2176, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

November 19, 2003

Virgen Onativia

Jack Schwartz & Associates 1350 Broadway, Suite 1507 New York, New York 10018 (212) 971-9017

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